



HIPAA

Exam Questions HIO-201

Certified HIPAA Professional

NEW QUESTION 1

This final security rule standard addresses encryption of data.

- A. Security Management Process
- B. Device and Media Controls
- C. Information Access Management
- D. Audit Controls
- E. Transmission Security

Answer: E

NEW QUESTION 2

HIPAA establishes a civil monetary penalty for violation of the Administrative Simplification provisions. The penalty may not be more than:

- A. \$1,000,000 per person per violation of a single standard for a calendar year.
- B. \$10 per person per violation of a single standard for a calendar year.
- C. \$25,000 per person per violation of a single standard for a calendar year.
- D. \$2,500 per person per violation of a single standard for a calendar year.
- E. \$1000 per person per violation of a single standard for a calendar year.

Answer: C

NEW QUESTION 3

Signed authorization forms must be retained:

- A. Indefinitely, because the life of a signed authorization is indefinite.
- B. Six (6) years from the time it expires.
- C. For as long as the patient's records are kept.
- D. Until it is specifically revoked by the individual.
- E. Ten (10) years from the date it was signed.

Answer: B

NEW QUESTION 4

Select the FALSE statement regarding the administrative requirements of the HIPAA privacy rule.

- A. A covered entity must mitigate, to the extent practicable, any harmful effect that it becomes aware of from the use or disclosure of PHI in violation of its policies and procedures or HIPAA regulations.
- B. A covered entity must not in any way intimidate, retaliate, or discriminate against any individual or other entity, which files a complaint.
- C. A covered entity may not require individuals to waive their rights as a condition for treatment, payment, enrollment in a health plan, or eligibility for benefits.
- D. A covered entity must retain the documents required by the regulations for a period of six years.
- E. A covered entity must change its policies and procedures to comply with HIPAA regulations no later than three years after the change in law.

Answer: E

NEW QUESTION 5

The code set that must be used to describe or identify inpatient hospital services and surgical procedures is:

- A. ICD-9-CM, Volumes 1 and 2
- B. CPT-4
- C. CDT
- D. ICD-9-CM, Volume 3
- E. HCPCS

Answer: D

NEW QUESTION 6

Implementing policies and procedures to prevent, detect, contain, and correct security violations is required by which security standard?

- A. Security Incident Procedures
- B. Assigned Security Responsibility
- C. Access Control
- D. Facility Access Controls
- E. Security Management Process

Answer: E

NEW QUESTION 7

One implementation specification of the Security Management Process is:

- A. Risk Analysis
- B. Authorization and/or Supervision
- C. Termination Procedures
- D. Contingency Operations
- E. Encryption and Decryption

Answer: A

NEW QUESTION 8

Implementation features of the Security Management Process include which one of the following?

- A. Power Backup plan
- B. Data Backup Plan
- C. Security Testing
- D. Risk Analysis
- E. Authorization and/or Supervision

Answer: D

NEW QUESTION 9

Select the correct statement regarding the administrative requirements of the HIPAA privacy rule.

- A. A covered entity must designate, and document, a privacy official, security officer and a HIPAA compliance officer
- B. A covered entity must designate, and document, the same person to be both privacy official and as the contact person responsible for receiving complaints and providing further information about the notice required by the regulations.
- C. A covered entity must implement and maintain written or electronic policies and procedures with respect to PHI that are designed to comply with HIPM standards, implementation specifications and other requirements.
- D. A covered entity must train, and document the training of, at least one member of its workforce on the policies and procedures with regard to PHI as necessary and appropriate for them to carry out their function within the covered entity no later than the privacy rule compliance date
- E. A covered entity must retain the document required by the regulations for a period often years from the time of it's creation or the time it was last in effect, which ever is later.

Answer: C

NEW QUESTION 10

One mandatory requirement for the Notice of Privacy Practices set by HIPAA regulations is:

- A. If the notice must state that the covered entity reserves the right to disclose PHI without obtaining the individuals authorization.
- B. The notice must prominently include an expiration date.
- C. The notice must describe every potential use of PHI
- D. The notice must describe an individual's rights under the rule such as to inspect, copy and amend PHI and to obtain an accounting of disclosures of PHI
- E. The notice must clearly identify that the covered entity is in compliance with HIPAA regulations as of April 16,2003

Answer: D

NEW QUESTION 10

One implementation specification of a contingency plan is:

- A. Risk analysis
- B. Applications and Data Criticality Analysis
- C. Risk Management
- D. Integrity Controls
- E. Encryption

Answer: B

NEW QUESTION 11

This transaction is used to transmit referral transactions between UMOs and other parties:

- A. Referral Premium Payment
- B. Health Care Referral Certification and Authorization.
- C. First Report of Injury.
- D. Health Plan Referral Enrollment and Dis-enrollment.
- E. Coordination of Referral Benefits.

Answer: B

NEW QUESTION 16

The implementation specifications for this HIPAA security standard (within Technical Safeguards) must support emergency access and unique user identification:

- A. Audit Control
- B. Integrity
- C. Access Control
- D. Person or Entity Authentication
- E. Transmission Security

Answer: C

NEW QUESTION 17

Select the best statement regarding the definition of a business associate of a covered entity. A business associate is:

- A. A person who acts on behalf of a non-covered entity.
- B. A person who's function may involve claims processing, administration, data analysis or practice management with access to PHI.
- C. A person who is a member of the covered entity's workforce.
- D. A clearinghouse.
- E. A person that performs or assists in the performance of a function or activity that involves the use or disclosure of de-identified health information.

Answer: B

NEW QUESTION 22

This implementation specification might include actions such as revoking passwords, and collecting keys

- A. Sanction Policy
- B. access Authorization
- C. Facility Security Plan
- D. Termination Procedures
- E. Unique User Identification

Answer: D

NEW QUESTION 26

Security reminders, using an anti-virus program on workstations, keeping track of when users log-in and out, and password management are all part of:

- A. Security Incident Procedures
- B. Information Access Management
- C. Security Awareness and Training
- D. Workforce Security
- E. Security Management Process

Answer: C

NEW QUESTION 29

Select the FALSE statement regarding health-related communications and marketing in the HIPAA regulations:

- A. A covered entity must obtain an authorization for any use or disclosure of protected health information for marketing, except if the communication is in the form allowed by the regulations.
- B. A face-to-face communication made by a covered entity to an individual is allowed by the regulations without an authorization
- C. A promotional gift of nominal value provided by the covered entity is NOT allowed by the regulations without an authorization.
- D. If the marketing is expected to result in direct or indirect remuneration to the covered entity from a third party, the authorization must state that such remuneration is expected
- E. Disclosure of PHI for marketing purposes is limited to disclosure to business associates (which could be a telemarketer) that undertakes marketing activities on behalf of the covered entity

Answer: C

NEW QUESTION 31

As part of their HIPAA compliance process, a small doctor's office formally puts the office manager in charge of security related issues. This complies with which security rule standard?

- A. Security Awareness and Training
- B. Security Management Process
- C. Access Control
- D. Assigned Security Responsibility
- E. Security Incident Procedures

Answer: D

NEW QUESTION 36

As defined in the HIPAA regulations, a group of logically related data in units is called a:

- A. Data group
- B. Segment
- C. Transaction set
- D. Functional group
- E. Interchange envelope

Answer: B

NEW QUESTION 38

Select the correct statement regarding the administrative requirements of the HIPAA privacy rule.

- A. A covered entity must apply disciplinary sanctions against members of its workforce who fail to comply with the privacy policies and procedures of the covered entity.
- B. A covered entity need not train all members of its workforce whose functions are materially affected by a change in policy or procedure.
- C. A covered entity must designate, and document, a contact person responsible for receiving acknowledgements of Notice of Privacy Practice.
- D. A covered entity may require individuals to waive their rights.
- E. A covered entity must provide maximum safeguards for PHI from any intentional or unintentional use or disclosure that is in violation of the regulations and to limit incidental uses and disclosures made pursuant to permitted or required use or disclosure.

Answer: A

NEW QUESTION 40

Patient identifiable information may include:

- A. Country of birth.
- B. Telephone number.
- C. Information on past 3 employers.
- D. Patient credit reports.
- E. Smart card-based digital signatures.

Answer: B

NEW QUESTION 41

The transaction number assigned to the Health Care Claim Payment/Advice transaction is:

- A. 270
- B. 276
- C. 834
- D. 835
- E. 837

Answer: D

NEW QUESTION 42

This security standard requires that the covered entity establishes agreements with each organization with which it exchanges data electronically, protecting the security of all such data:

- A. Security Incident Procedures
- B. Integrity
- C. Person or Entity Authentication
- D. Assigned Security Responsibility
- E. Business Associate Contracts and other Arrangements

Answer: E

NEW QUESTION 44

HPAA establishes a civil monetary penalty for violation of the Administrative Simplification provisions. The penalty may not be more than:

- A. \$1,000,000 per person per violation
- B. \$10 per person per violation
- C. \$10,000 per person per violation
- D. \$100 per person per violation
- E. \$1000 per person per violation

Answer: D

NEW QUESTION 45

Select the correct statement regarding the requirements for oral communication in the HIPAA regulations.

- A. Covered entities must reasonably safeguard PHI, including oral communications, from any intentional or unintentional use or disclosure that is in violation of the Privacy Rule.
- B. Covered entities must have in place appropriate administrative, technical, and physical safeguards to protect the privacy of de-identified data.
- C. Covered entities are prohibited from marketing through oral communications
- D. The Privacy Rule requires covered entities to document any information, including oral communications, which is used or disclosed for TPO purposes.
- E. The Privacy Rule will often require major structural changes, such as soundproof rooms and encryption of telephone systems, to provide the "reasonable safeguards" of oral communications required by the regulations

Answer: A

NEW QUESTION 48

Which of the following is NOT a correct statement regarding HIPAA requirements?

- A. A covered entity must change its policies and procedures to comply with HIPAA regulations, standards, and implementation specifications.
- B. A covered entity must reasonably safeguard PHI from any intentional or unintentional use or disclosure that is in violation of the regulations.
- C. A covered entity must provide a process for individuals to make complaints concerning privacy issues.
- D. A covered entity must document all complaints received regarding privacy issues.
- E. The Privacy Rule requires that the covered entity has a documented security policy.

Answer: E

NEW QUESTION 53

Which of the following is a required implementation specification associated with the Contingency Plan Standard?

- A. Integrity Controls

- B. Access Control and Validation Procedures
- C. Emergency Mode Operation
- D. Plan Response and Reporting
- E. Risk Analysis

Answer: C

NEW QUESTION 54

This code set describes drugs:

- A. ICD-9-C
- B. Volumes 1 and 2.
- C. CPT-4.
- D. CDT.
- E. ICD-9-C
- F. Volume 3.
- G. NDC.

Answer: E

NEW QUESTION 55

This rule covers the policies and procedures that must be in place to ensure that the patients' health information is respected and their rights upheld:

- A. Security rule.
- B. Privacy rule.
- C. Covered entity rule.
- D. Electronic Transactions and Code Sets rule.
- E. Electronic Signature Rule.

Answer: B

NEW QUESTION 58

Select the correct statement regarding the "Minimum Necessary" standard in the HIPAA regulations.

- A. In some circumstances a covered entity is permitted, but not required, to rely on the judgment of the party requesting the disclosure as to the minimum amount of information necessary for the intended purpose.
- B. Some examples of these requesting parties are: another covered entity or a public official.
- C. The privacy rule prohibits use, disclosure, or requests for an entire medical record.
- D. Non-Covered entities need to redesign their facility to meet the requirement for minimum necessary uses.
- E. The minimum necessary standard requires covered entities to prohibit maintenance of medical charts at bedside and to require that X-ray light boards be totally isolated.
- F. If there is a request for more than the minimum necessary PHI, the privacy rule requires a covered entity to deny the disclosure of information after recording the event in the individual's case file.

Answer: A

NEW QUESTION 62

Select the correct statement regarding the 834 - Benefit Enrollment and Maintenance transaction.

- A. It cannot be used to transfer enrollment information from a plan sponsor to a health care insurance company or other benefit provider.
- B. It can be used by a health insurance company to notify a plan sponsor that it has dropped one of its members.
- C. It cannot be used to enroll, update, or dis-enroll employees and dependents in a health plan.
- D. A sponsor can be an employer, insurance agency, association or government agency but unions are excluded from being plan sponsors.
- E. It can be used in either update or full replacement mode.

Answer: E

NEW QUESTION 64

This transaction, which is not a HIPAA standard, may be used as the first response when receiving a Health Care Claim (837):

- A. Eligibility (270/271).
- B. Premium Payment (820).
- C. Unsolicited Claim Status (277).
- D. Remittance Advice (835).
- E. Functional Acknowledgment (997).

Answer: E

NEW QUESTION 69

The key objective of a contingency plan is that the entity must establish and implement policies and procedures to ensure the:

- A. Creation and modification of health information during and after an emergency.
- B. Integrity of health information during and after an emergency.
- C. Accountability of health information during and after an emergency.
- D. Vulnerability of health information during and after an emergency.
- E. Non-repudiation of the entity.

Answer: B

NEW QUESTION 72

Performing a periodic review in response to environmental or operational changes affecting the security of electronic protected health information is called:

- A. Transmission Security
- B. Evaluation
- C. Audit Control
- D. Integrity
- E. Security Management Process

Answer: B

NEW QUESTION 75

When PHI is sent or received over an electronic network there must be measures to guard against unauthorized access. This is covered under which security rule standard?

- A. Device and Media Controls
- B. Access Controls
- C. Transmission Security
- D. Integrity
- E. Audit Controls

Answer: C

NEW QUESTION 78

The transaction pair used for requesting and responding to a health claim status inquiry is:

- A. 270/271
- B. 276/277
- C. 278/278
- D. 834/834
- E. 837/835

Answer: B

NEW QUESTION 81

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